

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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1/13/03

Applicant : Joseph F. Pinkerton
Application No.: 09/885,367 Confirmation No.: 4177
Filed : June 20, 2001
For : ENERGY CONVERSION SYSTEMS USING
NANOMETER SCALE ASSEMBLIES AND METHODS
FOR USING SAME
Group Art Unit : 2834
Examiner : Joseph Waks

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DEC 24 2002

OFFICE OF PETITIONS

New York, NY 10020
December 23, 2002

Hon. Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicant wishes to call the attention of the Examiner to the
following patents:

U.S. Patents

| | | |
|---------------------|----------------------|----------------|
| Stiefelmeyer et al. | Patent No. 4,595,864 | June 17, 1986 |
| Yano et al. | Patent No. 4,814,657 | March 21, 1989 |

Foreign Patents

| | | |
|-------------------|-------------|--------------------|
| PCT Int'l Publ'n. | WO/01/20760 | (March 22, 2001) |
| Europe 0 977 345 | | (February 2, 2000) |

These patents are listed on the accompanying Form
PTO-1449 (submitted in duplicate) and copies are enclosed
herewith.

Applicant understands that these patents are being cited after payment of the Issue by applicant. Nevertheless, these patents were cited in a foreign counterpart application to the above-captioned patent application. The patents were mailed on December 5, 2002, and applicant did not receive the patents until December 10, 2002. Applicant urges the Office to consider these patents as having been cited in the above-captioned patent application. It should be noted that each of these patents were marked with an "A", signifying that the documents define the general state of the art which is not considered to be of particular reference. Pursuant to 37 C.F.R. § 1.97(e), applicant hereby certifies that the listed patents were cited in a communication from a foreign patent office, and none of the listed items was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to this Supplemental Information Disclosure Statement. Accordingly, applicant believes that no fee is due in connection with this Supplemental Information Disclosure Statement. If for any reason a fee is due, The Director is hereby authorized to charge any such fee that may be due, in connection with this Second Supplemental Information Disclosure Statement, to Deposit Account No. 06-1075. A duplicate copy of this Supplemental Information Disclosure Statement is enclosed herewith.

It is respectfully requested that the Examiner: (1) fully consider the enclosed references during the examination of this application; (2) initial the enclosed copy of the Form PTO-1449 in the appropriate places to indicate that the

references have been considered; and (3) return a copy of the initialed form to the undersigned in accordance with MPEP §§ 609 and 2001.06(b) .

Respectfully submitted,

Joel Weiss
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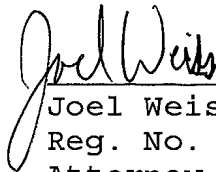
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Respectfully submitted,

A handwritten signature in cursive script, reading "Joel Weiss". The signature is written in dark ink and is positioned above a horizontal line.

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
JP-1SERIAL NO.
09/885,367INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANTS
Joseph F. Pinkerton.FILING DATE
June 20, 2001GROUP
2834

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLAS S | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|--------------------|---------|---------------------|-----------|----------|----------------------------------|
| | 4,595,864 | 6/17/86 | Stiefelmeyer et al. | 315 | 246 | |
| | 4,814,657 | 3/21/89 | Yano et al. | 310 | 309 | |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---------------------|--------------------|---------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| | WO 01/20760 | 3/22/01 | PCT | H02N | 2/18 | | |
| | EP 0 977 345 | 2/2/00 | Europe | H02K | 35/04 | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| EXAMINER INITIAL | |
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.